

FORM NO. IEPF- 5



Application to the Authority for claiming unpaid amounts and shares out of Investor Education and Protection Fund (IEPF)

[Pursuant to sub-section (3) of section 125 of the Companies Act, 2013 and rule 6(13) & 8(1) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016]

Form language English Hindi

Refer instruction kit for details.

Note - 1. All fields marked in * are to be mandatorily filled.

2. Only one Form to be filed in one FY against one Aadhar Number and one Company. User can enter more than one claims in single form (Number of claims to be entered in field 4)

1. Particulars of the applicant

(a)* Name of the applicant

(b)* Address of the applicant

(c) Phone number

 -

(d) Mobile number

(e)* Email ID

2. Particulars of the Company / Bank from which the amount is due

(a)* Corporate Identification Number (CIN) of company

Pre-fill

(b) Name of the company

(c) Address of registered office of the company

(d) email ID of the company

3. Details of shares claimed

Folio No./ DP ID - Client ID - Account number	Category	Kind of share	Number of shares	Total nominal amount of the share
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

5* Aadhaar Number or Passport/OCI/PIO Card No. (in case of NRI/foreigners)

6.* Details of Bank account (Aadhar linked, in case applicant is not NRI/foreigner) in which refund of claim to be made

(a) Bank account number

(b) Bank name

(c) Bank branch

(d) Type of account

Saving

Current

(e) IFSC code

7. Demat account number

Declaration

I declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that all the information given herein above is true, correct and complete including the attachments to this form and nothing material has been suppressed.

* I understand that I, am the claimant and after filing the refund claim in this form online, shall to send the attachments prescribed below to Nodal Officer (IEPF) of the company at its registered office in an envelope marked "claim for refund form IEPF Authority" for initiating the verification for claim

1. Print out of duly filled claim form with claimant signature
2. Copy of acknowledgement
3. Indemnity Bond (original) with claimant signature
4. Advance Stamped receipt (original)
5. In case of refund of matured deposit or debenture, original certificate thereto
6. Copy of Aadhaar Card
7. Proof of entitlement (certificate of share/Interest warrant Application No. etc.)
8. Cancelled Cheque leaf
9. Copy of Passport, OCI and PIO card in case of foreigners and NRI
10. Other optional document,(if any)

Note: Attention is also drawn to provisions of Section 448 of Companies Act, 2013 which states that -

"Save as otherwise provided in this Act, if in any return, report, certificate, financial statement, prospectus, statement or other document required by, or for, the purposes of any of the provisions of this Act or the rules made thereunder, any person makes a statement,-

(a) which is false in any material particulars, knowing it to be false; or

(b) which omits any material fact, knowing it to be material,

he shall be liable under section 447."

Modify

Check Form

Prescrutiny

Submit

For office use only:

eForm Service request number (SRN)

eForm filing date

(DD/MM/YYYY)

Digital signature of the authorising officer

This e-Form is hereby approved

This e-Form is hereby rejected

Confirm Submission

Date of
signing

(DD/MM/YYYY)

